IAM REC' PCT/PTO 03 APR 2006

SCULLY, SCOTT, MURPHY & PRESSER

A PROFESSIONAL CORPORATION LEOPOLD PRESSER PAUL J. ESATTO, JR.

ATTORNEYS AT LAW 400 GARDEN CITY PLAZA, SUITE 300 GARDEN CITY, NEW YORK 11530-3319 ALLEN R. MORGANSTERN ALEK P. SZECSY STEPHEN A. YOUNG COUNSEL

ZHUANG YUAN TECHNICAL CONSULTANT

JOHN F. SCULLY STEPHEN D. MURPHY KENNETH L. KING RETIRED

ANTHONY C. SCOTT (1931-1994) WILLIAM E. MCNULTY (1927-1993)

TELEPHONE: (516) 742-4343 FACSIMILE: (516) 742-4366 E-MAIL: intprop@ssmp.com

March 20, 2006

STEVEN FISCHMAN PETER I. BERNSTEIN THOMAS SPINELLI ROBERT L. BERNSTEIN MARVIN BRESSLER DERMOTT J. COOKE® RICHARD J. DANYKO BRADLEY M, MARAZAS SETH M. WEINFELD KEITH A. WELTSCH* YONGZHI YANG* XIAOCHUN ZHU

FRANK S. DIGIGLIO

EDWARD W. GROLZ

JOHN S. SENSNY MARK J. COHEN

OH BAR ONLY *MD BAR ONLY "NC BAR ONLY

YONG LU LESLIE S. SZIVOS, PH.D. DAVID J. TORRENTE, J.D. PATENT AGENTS

DOMINICK A. TUCCIO TECHNICAL COUNSULTANT

> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> > USSN: 10/541,645 Re:

Takeshi Honda, et al. Our Docket: 19002

Dear Sirs:

The Filing Receipt for the above-identified patent application does not list the Assignment For Published Patent Application information. It should read as follows:

> **Assignment For Published Patent Application NEC Corporation, Tokyo, Japan**

as indicated on the enclosed pages. Please make the corrections and send us a corrected Filing Receipt.

SSM&P/tw Encl.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 (c) DATE APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO IND CLMS **DRAWINGS** TOT CLMS 10/541,645 07/06/2005 2827 900 19002 21 13 1

CONFIRMATION NO. 4090

FILING RECEIPT

OC000000018013542

23389

SCULLY SCOTT MURPHY & PRESSER, PC

400 GARDEN CITY PLAZA

SUITE 300

GARDEN CITY, NY 11530

Date Mailed: 02/23/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon, If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takeshi Honda, Tokyo, JAPAN; Noboru Sakimura, Tokyo, JAPAN; Tadahiko Sugibayashi, Tokyo, JAPAN;

Assignment For Published Patent Application

of Attorney: The patent practitioners associated with Customer Number 23389.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/16981 12/26/2003

Foreign Applications

JAPAN 2003455 01/06/2003

Projected Publication Date: 06/01/2006

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor memory device

Preliminary Class

365

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

		<u> </u>			
(ATTORN S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES			19002		
DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37)				U.S. APPLICATION NO. (If known, see 37 CFR 1.5	
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371					
INT			ATIONAL FILING DATE		
PCT/JP2003/016981 26 December 2003 (26.12.2003)			PRIORITY DATE CLAIMED 6 January 2003 (06.01.2003)		
•		INVENTION		0 04H441 / 2003 (00.01.2003)	
SEN	MICC	NDUCTOR STORAGE APPARATUS	•		
APPLICANT(S) FOR DO/EO/US					
·	esui i	Ionda, Noboru Sakimura and Tadahiko Sug	gibayashi		
Appl	licant	perputith cultivite to the United States Devices	ALTERNATION OF THE PROPERTY OF		
	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1.	Ø	to a visite visite in the management of the mana			
2.		This is a 5255 to 57 Cobbot gold in salari submission of items concerning a submission under 35 U.S.C. 371.			
3.	\boxtimes	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.			
4.		The US has been elected (Article 31).			
5.		A copy of the International Application as filed (35 U.S.C. 371 (c)(2))			
•	a. is attached hereto (required only if not communicated by the International Bureau).				
		b. has been communicated by the Int		on a constant	
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).			ring Office (RO/US)	
6.	\boxtimes				
		a. 🛛 is attached hereto.		· · · · · · · · · · · · · · · · · ·	
		b. has been previously submitted und	er 35 U.S.C. 154(d)(4).		
7.	\boxtimes				
		b. have been communicated by the International Bureau.			
		c. have not been made; however, the time limit for making such amendments has NOT expired.			
		d. 🛛 have not been made and will not be		·	
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).			
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).			
11.	\boxtimes	A copy of the International Preliminary Examination Report (PCT/IPEA/409).			
12.	\boxtimes	A copy of the International Search Report (PCT/ISA/210).			
Ite	ems 1	3 to 23 below concern document(s) or infor	mation included:		
13.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
14.	\boxtimes	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
15.	\boxtimes	A FIRST preliminary amendment.			
16.		A SECOND or SUBSEQUENT preliminary an	nendment.		
17.		A substitute specification.			
18.		A power of attorney and/or change of address	s letter.		
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.			
20.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).			
22.	×	Express Mail Label No. EV 213896754 US			
23. 🖾 Other items or information:					
Twenty-one (21) sheets of drawings Assignee: NEC Corporation of Tokyo, Japan					